

Anharmonic nuclear motion and the relative stability of hexagonal and cubic ice

Edgar A. Engel, Bartomeu Monserrat, and Richard J. Needs
*TCM Group, Cavendish Laboratory, University of Cambridge,
 J. J. Thomson Avenue, Cambridge CB3 0HE, United Kingdom*
 (Dated: August 13, 2015)

We use extensive first-principles quantum mechanical calculations to show that, although the static lattice and harmonic vibrational energies are almost identical, the anharmonic vibrational energy of hexagonal ice is significantly lower than that of cubic ice. This difference in anharmonicity is crucial, stabilising hexagonal ice compared with cubic ice by at least 1.4 meV/H₂O, in agreement with experimental estimates. The difference in anharmonicity arises predominantly from molecular O-H bond stretching vibrational modes and is related to the different stacking of atomic layers.

I. INTRODUCTION

Six-fold symmetric snow crystals are formed from hexagonal ice (Ih), which covers about 10% of the Earth's surface and plays a prominent role in determining its climate [1–3]. A cubic form of ice also occurs in nature, but is very rare [4, 5]. The structures of pure hexagonal and cubic ice differ only in the stacking of layers of tetrahedrally coordinated water molecules (see Fig. 1). Yet, hexagonal ice is thermodynamically more stable than cubic ice, with experiments indicating the difference in stability to lie in the meV/H₂O range [6–15]. There is a growing realisation that real “cubic ice” typically contains many stacking faults and is not pure cubic ice (Ic) as originally suggested by König [16]. Stacking faulted ice is a highly complex material, whose nature and properties depend heavily on the free energy difference between pure Ih and Ic.

The very similar free energies of Ih and Ic have so far prevented state-of-the-art first-principles quantum mechanical calculations from explaining the stability of Ih. Both density functional theory (DFT) and diffusion quantum Monte Carlo studies have found that Ih and Ic are almost degenerate in energy when nuclear motion is neglected [17]. Our calculations show that the harmonic zero-point vibrational energies of Ih and Ic are large, at roughly 700 meV/H₂O, but they are almost identical. Consequently, when averaged over different proton-orderings, the two phases are almost degenerate when harmonic vibrations are included (see Fig. 2). However, the small mass of hydrogen gives rise to large amplitude vibrations and large anharmonic effects.

A substantial body of theoretical work exists on water and ice, based on force-field path-integral molecular dynamics (PIMD), first-principles classical molecular dynamics (MD), and first-principles vibrational calculations in the quasi-harmonic approximation. This work has led to significant successes in understanding the important role of quantum vibrations and anharmonicity for various phenomena observed experimentally. Examples include, but are not limited to, (a) the isotope effects, e.g., on the melting temperature, of Ih upon going from protiated to deuterated ice [18–20], (b) accurate O-H bond lengths and infrared O-H stretching frequencies in water

[21–23], (c) reproduction of the anomalous thermal expansion, and the isotope effect on the volume in Ih [24] and (d) the heat capacity of water [25]. Attempts to calculate the relative stability of Ih and Ic have either relied on empirical force-fields such as TIP4P [26, 27] or have lacked an accurate description of anharmonicity [17, 28]. TIP4P has since been shown to produce incorrect proton-ordering energetics and an incorrect static lattice energy difference between Ih and Ic compared to highly accurate diffusion Monte Carlo methods [29]. Moreover, no successful attempts to explain the origin of the greater stability of Ih have been made. With our fully anharmonic, first-principles DFT study we show that the inclusion of accurate anharmonic quantum nuclear motion is decisive in stabilising Ih with respect to Ic, and relate the difference in stability to the different stacking of the atomic layers.

The water molecules in both Ih and Ic are tetrahedrally coordinated, each donating and accepting two hydrogen bonds and thus satisfying the “Bernal-Fowler ice rules” [31]. The oxygen sublattices of Ih and Ic arise from an ABAB and an ABC stacking of puckered layers of oxygen atoms, respectively. Correspondingly, the basic building blocks of Ih are chair- and boat-form hexamers, while Ic is built exclusively from chair-form hexamers (Fig. 1). Pure Ic has so far proven elusive. Experimentally synthesised “Ic” typically contains many stacking faults which strongly affect its physical and chemical properties [6, 13, 32]. Ice containing cubic sequences interlaced with hexagonal sequences is commonly known as stacking-disordered ice (Isd). Unlike Ih and Ic, which refer to a unique stacking arrangement of puckered layers, Isd refers to the infinite set of possible stacking sequences. This set smoothly connects Ih as one end member to Ic as the other. Isd has trigonal $P3m1$ symmetry [33–35]. As of yet, it is unclear whether stacking disorder is kinetically or thermodynamically driven. A full understanding of Isd will require understanding the properties of Ih and Ic, including their relative stability. At the most basic level, the free energy difference between Ih and Ic is required to understand why Isd is found to anneal to Ih rather than Ic.

The fraction of cubic stackings of layers, or “cubicity”, typically does not exceed around 60% [32] in experiments and both the fraction itself as well as the nature

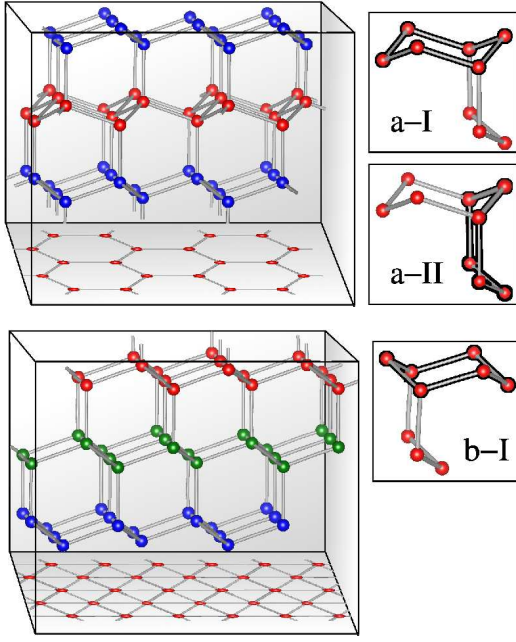


FIG. 1. The oxygen sublattices in (a) hexagonal ice, Ih, and (b) cubic ice, Ic, consist of ABAB and ABC stacked puckered layers (red, blue and green colours), respectively. Projections of the periodic structures along the direction orthogonal to the puckered layers are shown on the lower faces of the diagrams. Ih is built of the chair- and boat-form hexamers shown in a-I and a-II, respectively, while Ic is built exclusively from chair-form hexamers as in b-I. The structures were visualised using VESTA [30].

of the stacking arrangements depend heavily on the synthesis pathway [13, 32, 36]. Crucially, ice synthesised via both homogeneous and heterogeneous freezing of (supercooled) water has a random stacking of cubic and hexagonal layers [32, 36], which is consistent with a layer-by-layer growth mechanism. Heterogeneous freezing in particular is central to atmospheric and climate physics and, due to random stacking-disorder, depends vitally on the free energy difference between Ih and Ic. It is clear that Isd is an extremely important and highly complex material.

Molecular dynamics and Monte Carlo simulations using empirical ice potentials to model ice nucleation processes have successfully reproduced stacking-disorder (see [27, 36, 37] and references therein). However, they struggle, amongst other issues, with the accuracy of the empirical potentials in describing the melting temperature and the relative stability of Ih and Ic [38].

In the following we limit ourselves to the study of pure Ih and Ic.

II. COMPUTATIONAL MODEL

Atomistic simulations of proton-disordered systems such as Ih and Ic require sets of explicit atomic positions

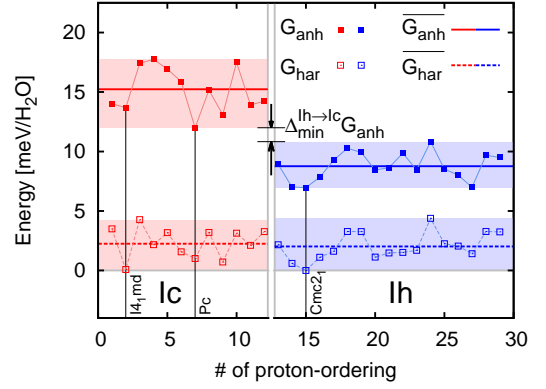


FIG. 2. Harmonic (G_{har} , empty squares, lower part) and anharmonic (G_{anh} , filled squares, upper part) energies including zero-point nuclear motion for different Ih (blue) and Ic (red) proton-orderings (Supplementary Table 1). The averages over proton-orderings, \bar{G}_{har} and \bar{G}_{anh} , are shown as thick horizontal dotted and solid lines, respectively. All energies are measured with respect to $G_{\text{har}}^{\text{Ih}}$ (Ih $Cmc2_1$, structure 15).

and a calculation must be performed for each proton-ordering studied. The number of proton-ordered, energetically quasi-degenerate structures allowed by the ice rules increases exponentially with the size of the simulation cell. This leads to Pauling’s residual configurational entropy [39], S_{config} , which has been confirmed experimentally [40, 41]. For large systems with negligible surface effects the associated configurational free energies of Ih and Ic, $\Delta G_{\text{config}} = -TS_{\text{config}}$, are almost identical [42, 43]. We therefore neglect ΔG_{config} in the following.

To gain an understanding of the effects of proton-ordering on the vibrational properties of ice, we consider 16 distinct proton-ordered eight-molecule Ih configurations as constructed by Hirsch and Ojamäe [44], and 11 distinct proton-ordered eight-molecule Ic configurations [17]. We also consider the “conventional” hexagonal, 12-molecule $P6_3cm$ Ih and quasi-cubic, eight-molecule $P4_3$ Ic structures (numbers 13 and 1 in Fig. 2, respectively). Details of the proton-ordered structures and their numbering are provided in Supplementary Table 1.

We performed electronic structure calculations using plane-wave pseudopotential DFT as implemented in the CASTEP code [45] (version 7.02). We employed the Perdew-Burke-Ernzerhof (PBE) [46] generalised gradient approximation to the exchange-correlation functional, and on-the-fly generated ultrasoft pseudopotentials [47] with core radii of 0.7 Å and 0.8 Å for the hydrogen and oxygen atoms, respectively. Supplementary Section V describes results obtained with other density functionals. We used a plane-wave energy cut-off of 1600 eV and Monkhorst-Pack reciprocal space grids of spacing less than $2\pi \times 0.04 \text{ Å}^{-1}$ for all total energy calculations and geometry optimisations. The resulting energy differences between frozen-phonon configurations are converged to below $10^{-4} \text{ eV/H}_2\text{O}$, the atomic positions are

converged to within 10^{-5}\AA , and the residual forces to within 10^{-4} eV/\AA .

We obtained harmonic vibrational free energies from the harmonic frequencies calculated using the \mathbf{k} -space Fourier interpolated dynamical matrix. The latter was obtained by Fourier transforming the real-space matrix of force constants constructed using a finite displacement method. Anharmonic vibrational free energies were calculated using the method described in [48], which has so far been successfully applied to high-pressure systems [49, 50]. As in [48], we describe the $3\mathcal{N}$ -dimensional BO energy surface (where \mathcal{N} is the number of atoms in the simulation cell) by mapping 1D subspaces along the harmonic normal mode axes up to large amplitudes of four times the harmonic root-mean-square (RMS) displacements, where anharmonicity is important. We then reconstruct the $3\mathcal{N}$ -dimensional BO surface from the 1D subspaces. The resultant representation of the BO energy surface is an approximation to the true $3\mathcal{N}$ -dimensional BO energy surface. This approximation only weakly affects the free energy difference between Ih and Ic (see Supplementary Section VI). The 1D energy surfaces were fitted using cubic splines. The anharmonic vibrational Schrödinger equation was solved within a vibrational self-consistent field (VSCF) framework. The vibrational wave function was expanded in a basis of simple harmonic oscillator eigenstates, and the inclusion of 25 states for each vibrational degree of freedom was found sufficient to obtain converged results.

III. RESULTS

Our calculations show that the static lattice energies of Ih and Ic, E_{static} , vary by up to $5\text{ meV/H}_2\text{O}$ with proton-ordering. This agrees with Refs. [17, 44, 51] and, more importantly, with Ref. [52], which evaluates DFT static lattice energies for 16 8-molecule orthorhombic, 14 12-molecule hexagonal and 63 48-molecule orthorhombic Ih proton-orderings. This strongly suggests that our sets of proton-orderings provide a good representation of the distribution of energies in disordered ice.

We also find that the harmonic vibrational contributions to the free energies of different proton-orderings, ΔG_{har} , vary by up to $2\text{ meV/H}_2\text{O}$. We have employed the VSCF method described above to calculate the anharmonic contribution to the vibrational free energy, ΔG_{anh} , finding a variation between proton-orderings of up to $5\text{ meV/H}_2\text{O}$.

The free energies of Ih and Ic at the harmonic vibrational level, $G_{\text{har}} = E_{\text{static}} + \Delta G_{\text{har}}$, averaged over the proton-orderings, are virtually indistinguishable:

$$\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{har}} \equiv \overline{G_{\text{har}}^{\text{Ic}}} - \overline{G_{\text{har}}^{\text{Ih}}} = 0.2 \pm 2.4\text{ meV/H}_2\text{O},$$

where the quoted errors are the RMS variations across different proton-orderings. The anharmonic energies of the Ih configurations, ΔG_{anh} , on the other hand, though

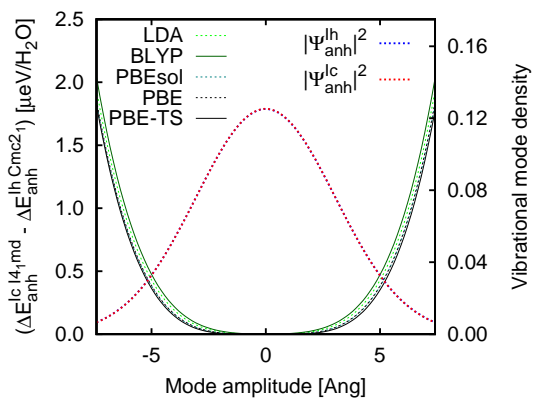


FIG. 3. Difference in the anharmonicity of the BO energy surface, ΔE_{anh} , of Ih $Cmc2_1$ and Ic $I4_1md$ for various functionals (thin solid and dotted lines). The dominant contribution to the anharmonicity is quartic. The difference between the results from different functionals is so small it can barely be distinguished. The different widths of the vibrational densities, $|\Psi_{\text{anh}}|^2$, of Ih and Ic (blue and red thick dotted lines, respectively) is indistinguishable on the scale of the figure.

also positive, are systematically lower than those of the Ic configurations, so that the total free energy, $G_{\text{anh}} = E_{\text{static}} + \Delta G_{\text{har}} + \Delta G_{\text{anh}}$, averaged over the different proton-orderings, is significantly lower for Ih than for Ic:

$$\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}} \equiv \overline{G_{\text{anh}}^{\text{Ic}}} - \overline{G_{\text{anh}}^{\text{Ih}}} = 6.5 \pm 3.1\text{ meV/H}_2\text{O}.$$

The values obtained for $\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{har}}$ and $\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}}$ depend significantly on the method of averaging. For example, using a Boltzmann distribution for the free energy of the proton-orderings leads to values of $\Delta_{\text{Boltzmann}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{har}} \approx 5.5\text{ meV/H}_2\text{O}$ and $6.1\text{ meV/H}_2\text{O}$ at 10 and 100 K, respectively.

It is noteworthy that, given cell volumes that are reasonably close to experiment, the differences in E_{static} , ΔG_{har} and ΔG_{anh} between Ih and Ic depend only weakly on the details of the DFT calculations and in particular on the choice of exchange-correlation functional (see Fig. 3 and Supplementary Section V).

The most stable proton-ordered configurations of Ih and Ic, referred to as XIh and XIc, display free energy differences very similar to $\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{har}}$ and $\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}}$. However, the inclusion of anharmonic vibrational energies changes the relative stability of the different proton-orderings. XIh is experimentally and theoretically known to have space group $Cmc2_1$ [44, 53] (structure 15 in Fig. 2), a result confirmed by our calculations. A structure of space group $I4_1md$ has been proposed [17] (structure 2) for XIc on theoretical grounds. Our calculations support this proposal at the harmonic vibrational level, but the inclusion of anharmonic contributions suggests that Ic Pc , $Pca2_1$ and $Pna2_1$ (structures 7, 9 and 12) may also be strong candidates for XIc. Ref. [54] reports experimental evidence for Ic $I4_1md$ and $Pna2_1$ in partially proton-ordered Ic via Fourier transform infrared

spectroscopy. This lends significant support to our result that anharmonicity provides the decisive contribution to the energy differences between proton-orderings, since $Pna2_1$ has a high free energy at the static lattice and harmonic vibrational levels and only becomes a low-free-energy structure when anharmonicity is taken into account.

At typical experimental temperatures of below 100 K, the proton-ordering is largely frozen in. Consequently one cannot expect to measure a change in free energy corresponding to a transition from Ic to Ih in thermal equilibrium, but rather (assuming the Ic sample is annealed at low temperatures and consists mostly of XIc) a change in free energy corresponding to transitions from XIc to a proton-ordering of Ih, which is likely to be smaller than $\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}}$. As indicated in Fig. 2, we evaluate a lower bound on the free energy difference as

$$\Delta_{\text{min}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}} \equiv \min \{G_{\text{anh}}^{\text{Ic}}\} - \max \{G_{\text{anh}}^{\text{Ih}}\} = 1.4 \pm 0.3 \text{ meV/H}_2\text{O}.$$

This lower bound is consistent with, but on the high side of, experimentally measured free energy differences of $0.3 - 1.6 \text{ meV/H}_2\text{O}$ [7–15]. Notably, the experimental value is rather uncertain, mainly because the free energy difference is very small, and because the Ic samples are typically not fully characterised in terms of stacking faults or proton ordering.

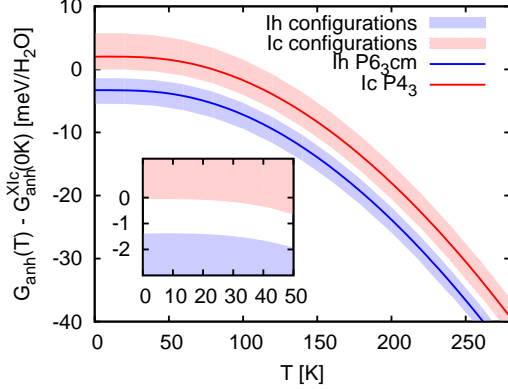


FIG. 4. G_{anh} for H_2O as a function of temperature. The solid blue and red lines indicate results for Ih $P6_3cm$ and Ic $P4_3$ simulation cells containing 96 and 64 molecules, respectively. The light blue and light red areas indicate the spread in free energies arising from the different Ih and Ic proton-orderings.

As shown in Fig. 4, this energy difference remains roughly constant from zero temperature up to 273 K and thus stabilises Ih over a wide range of temperatures.

In D_2O , the heavier mass of deuterium firstly leads to reduced vibrational frequencies of the harmonic vibrational modes and thus reduced vibrational energies. For XIh D_2O , $\Delta G_{\text{har}} = 507.67 \text{ meV/D}_2\text{O}$ compared to $692.34 \text{ meV/H}_2\text{O}$ for XIh H_2O . Secondly, D_2O has smaller vibrational amplitudes and consequently smaller

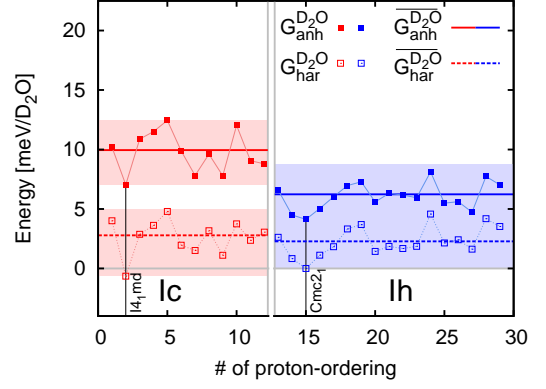


FIG. 5. Zero temperature $G_{\text{har}}^{\text{D}_2\text{O}}$ (empty squares, lower part) and $G_{\text{anh}}^{\text{D}_2\text{O}}$ (filled squares, upper part) for different deuterated Ih (blue) and Ic (red) proton-orderings. The averages over proton-orderings, $\overline{G_{\text{har}}^{\text{D}_2\text{O}}}$ and $\overline{G_{\text{anh}}^{\text{D}_2\text{O}}}$, are shown as thick dotted and solid lines, respectively. All energies are measured with respect to $G_{\text{har}}^{\text{D}_2\text{O}}$ of Ih $Cmc2_1$.

anharmonic vibrational energies than H_2O , resulting in a smaller difference between the free energies of Ih and Ic,

$$\Delta_{\text{av}}^{\text{Ic} \rightarrow \text{Ih}} G_{\text{anh}}^{\text{D}_2\text{O}} \equiv \overline{G_{\text{anh}}^{\text{Ic-D}_2\text{O}}} - \overline{G_{\text{anh}}^{\text{Ih-D}_2\text{O}}} = 3.7 \pm 2.9 \text{ meV/D}_2\text{O},$$

as shown in Fig. 5. This suggests that it could be easier to synthesise deuterated than protiated cubic ice. Moreover, the smaller anharmonic energy calculated for D_2O compared with H_2O implies that the most likely candidate for the ground state of cubic D_2O has $I4_1md$ symmetry (structure 2) and is thus different from the ground state of H_2O . The predicted ground state of hexagonal D_2O is $Cmc2_1$, as for H_2O .

We have investigated the convergence of ΔG_{har} and ΔG_{anh} with respect to the size of the simulation cell using cells containing up to 192 molecules for Ih $P6_3cm$ and 128 molecules for Ic $P4_3$ as shown in Fig. 6.

The vibrational energies of the different proton-orderings were calculated using 64-molecule cells for the harmonic vibrational energy and eight-molecule cells for the anharmonic energies. The latter is justified by the short-range nature of anharmonicity, evidence for which is described in section IV. Calculations using cells with up to 192 molecules for the $P6_3cm$ Ih structure and 128 molecules for the $P4_3$ Ic structure indicate that the difference between ΔG_{har} for Ih and Ic is converged to within $0.1 \text{ meV/H}_2\text{O}$ using 64-molecule simulations cells. The anharmonic corrections converge analogously, and the difference between ΔG_{anh} for the two structures is converged to within $0.2 \text{ meV/H}_2\text{O}$ using 64-molecule simulations cells. More details may be found in Supplementary Section II.

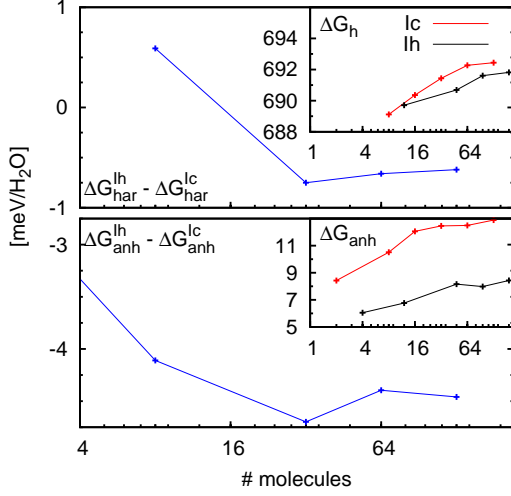


FIG. 6. The harmonic free energy, ΔG_{har} , and anharmonic free energy, ΔG_{anh} , are shown as functions of the simulation cell size in the upper and lower panels, respectively.

IV. DISCUSSION

The origin of the difference in the anharmonicities of Ih and Ic can be traced back to the libration (80 – 140 meV) and, predominantly, the molecular symmetric and anti-symmetric O-H bond stretching modes (365 – 380 meV and 395 – 410 meV, respectively) indicated in Fig. 7. The vibrational density of states (DoS) and the distribution of anharmonic corrections over the vibrational frequencies show (Fig. 8) that the dominant anharmonic contributions arise from the O-H bond stretching modes, which correspond to large amplitude displacements of the hydrogen atoms relative to their neighbouring (essentially stationary) oxygen atoms. The comparatively small role of the oxygen atoms is confirmed by studying Ih and Ic analogues with fixed oxygen positions, which recover the value of ΔG_{anh} observed for real Ih and Ic to within 5% (see Supplementary Table III). The O-H bond stretching modes contribute $> 2/3$ of the difference in anharmonicity between Ih and Ic, when averaged over proton-orderings. Note that the energy difference between Ih and Ic shown in Fig. 8 increases at high frequencies.

Variations in vibrational frequencies with cubicity have recently been identified in infrared absorption experiments [6]. Carr *et al.* observed that the O-H stretching modes were shifted to higher vibrational frequencies with increasing cubicity of their Isd samples. They also observed an increasing broadening of the absorption peak. According to Carr *et al.*, both trends are thought to be associated with the stacking disorder, which peaks at a cubicity of 50%. For samples with cubicities of 50% Carr *et al.* observed shifts of $28 \pm 2 \text{ cm}^{-1}$ and $13 \pm 2 \text{ cm}^{-1}$ for protiated and deuterated Isd, respectively. Our calculations reproduce the widening of the O-H stretching peak

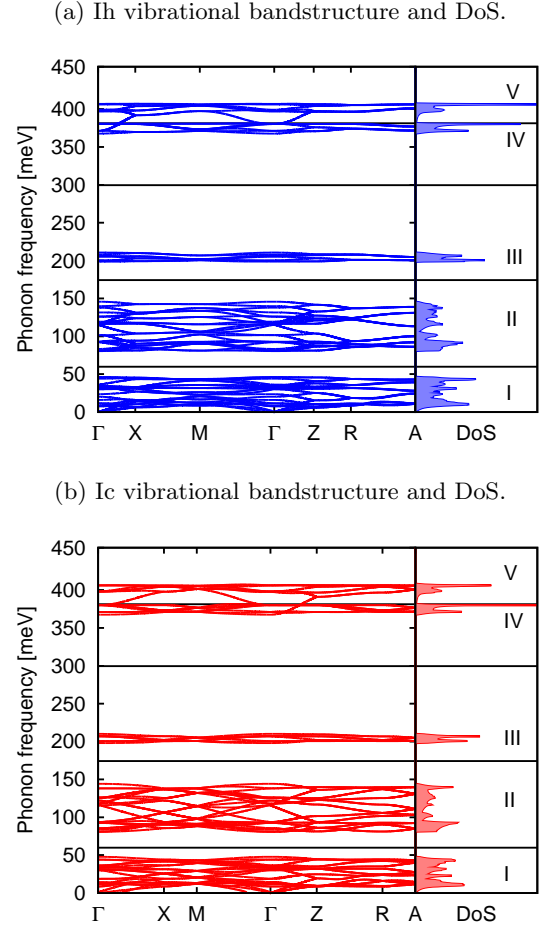


FIG. 7. Vibrational bandstructure and DoS of Ih (a) and Ic (b) split into crystal modes (I), libration modes (II), molecular O-H bending modes (III) and molecular O-H anti-symmetric and symmetric stretching modes (IV and V, respectively).

in the vibrational DoS and produce shifts of $70 \pm 5 \text{ cm}^{-1}$ and $30 \pm 5 \text{ cm}^{-1}$ for protiated and deuterated Isd, respectively. I.e., at about double the cubicity of Carr *et al.*, we calculate shifts that are about twice as large as those of Carr *et al.* Thus our values are consistent with the results of Carr *et al.* if the shift scales roughly linearly with the degree of cubicity rather than the amount of stacking disorder, and is largest in pure Ic. In accurately reproducing the ratio between the blueshifts of the molecular stretching frequencies for the protiated and deuterated phases, our results also provide a good account of the effects of isotopic substitution.

The role of the high energy modes can be further illuminated by considering the H-H radial distribution functions (Fig. 9 (b)) of Ih and Ic and RMS displacements of the protons (see Supplementary Table IV). The latter show that the harmonic vibrational amplitudes in Ic are about 1% smaller than in Ih. Yet, at the harmonic level the H-H radial distribution functions of Ih and Ic are essentially identical for distances less than about 3 Å. Beyond 3 Å the static structures of the two phases differ.

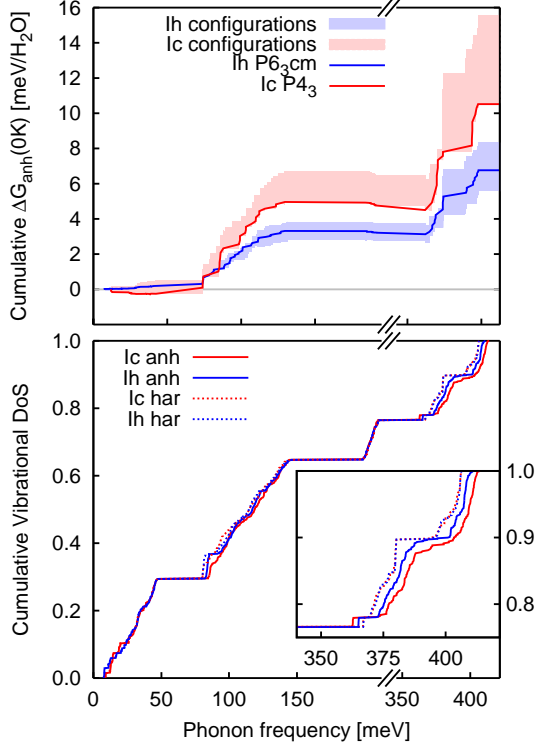
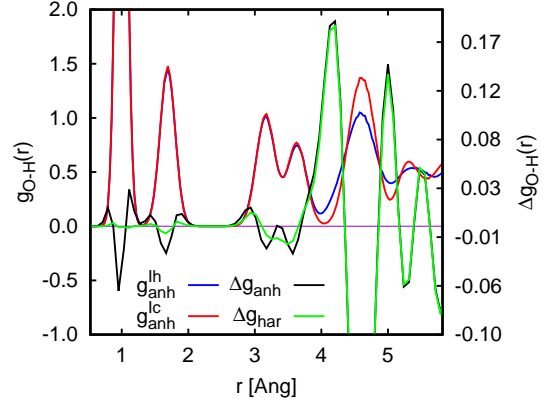


FIG. 8. Cumulative anharmonic energies and vibrational DoS of H_2O averaged over proton-orderings as a function of frequency. The upper panel shows the anharmonic energies for Ih $Cmc2_1$ and Ic $P4_3$ as solid blue and red lines and the spread in free energies in Ih and Ic due to different proton-orderings as light red and light blue areas. The lower panel shows that the harmonic DoS of Ih and Ic (dotted lines) are practically identical. The larger increase in vibrational energy due to anharmonicity in Ic than in Ih is reflected in the shifts of their anharmonic DoS (continuous lines) with respect to the harmonic DoS.

Unlike the RMS displacements of the protons, the RDFs measure a two-particle quantity that describes correlated motions of pairs of protons. At the harmonic level the protons in Ic move less with respect to their equilibrium positions than in Ih, but they move by just as much with respect to each other. We note that the O-H and H-H RDFs for Ih shown in Figs. 9 (a) and (b) agree well with, e.g., the experimental RDFs in [55].

While the protons in both phases feel the same local environment, differences occur starting with the fourth-nearest-neighbour protons (see Fig. 10). Also, for systems as small as 8 to 12 molecules ΔG_{anh} is already about 3/4 of the converged value (Supplementary Fig. 1). This system size limits the wavelength of the vibrational modes responsible for the difference in anharmonic energies to roughly the same distance as the separation of fourth-nearest-neighbour protons. Together these observations indicate that the influence of more distant nuclei

(a) O-H RDFs, $g_{\text{O-H}}(r)$.



(b) H-H RDFs, $g_{\text{H-H}}(r)$.

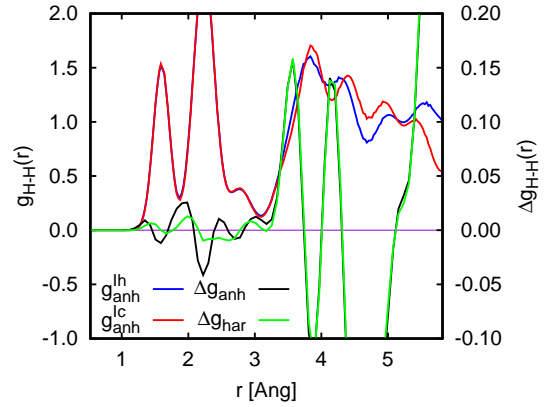
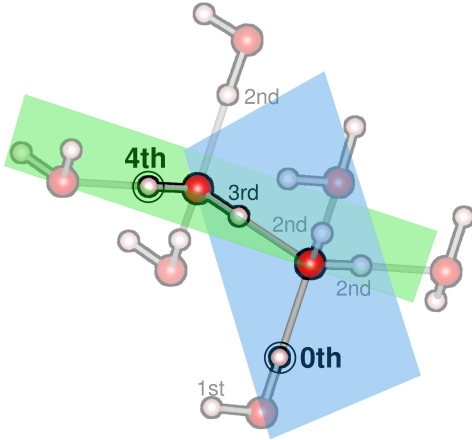


FIG. 9. The RDFs for Ih $P6_3cm$ (blue) and Ic $P4_3$ (red) are sampled from the anharmonic nuclear wavefunctions. For radii $< 3 \text{ \AA}$ the difference between Ih and Ic at the harmonic level (green), $\Delta g_{\text{har}} \equiv g_{\text{har}}^{\text{Ih}} - g_{\text{har}}^{\text{Ic}}$, are minimal. The difference at the anharmonic level (black), $\Delta g_{\text{anh}} \equiv g_{\text{anh}}^{\text{Ih}} - g_{\text{anh}}^{\text{Ic}}$, is small, but non-negligible. The features in Δg_{har} and Δg_{anh} for radii beyond around 3 \AA originate predominantly from differences in the static structures of Ih and Ic.

is small. Allowing for both chair- and boat-form hexamers, there are 12 distinct arrangements of fourth-nearest-neighbour pairs of protons. Out of these 12 arrangements only 8 are realised in the proton-orderings we have considered. These are shown in Fig. 11. Three of these arrangements (numbers VI – VIII) are associated with boat-form hexamers of H_2O molecules, which only exist in Ih, and 5 (numbers I – V) are associated with the chair-form hexamers of H_2O molecules found in Ih and Ic. The RDFs in Fig. 11 show that, on going from Ic to Ih, arrangements II and IV are depopulated. For arrangements II and IV the displacement of the first proton of the pair from its equilibrium position along its hydrogen bridge bond leads to a large displacement relative to the second proton. Conversely, arrangements VI – VIII, for which the same displacement of the first proton leads to a far smaller displacement relative to the second, are

(a) Typical chair-form configuration found in Ih and Ic.



(b) Typical boat-form configuration found only in Ih.

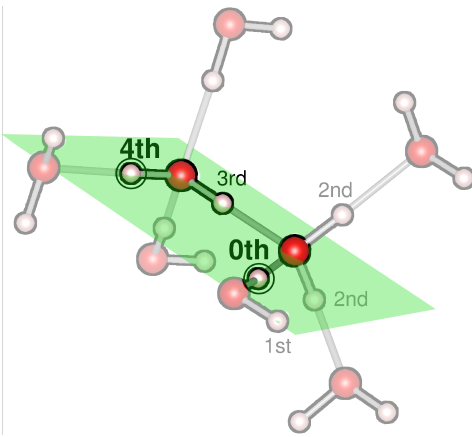


FIG. 10. The (arbitrarily picked) central proton is labelled as “0th”. The nearest- to fourth-nearest-neighbours are labelled “1st” through “4th”. From the point of view of the central proton, the fourth-nearest-neighbour protons first lead to a distinction between chair- and boat-form hexamers. The fourth-nearest-neighbour protons are found at distances from the central proton ranging from around 3.5 to 5.0 Å. The green and blue planes are spanned by the relevant O-O axes connecting the fourth-nearest-neighbour protons.

populated. This explains why on average the protons in Ic move less with respect to their equilibrium positions than in Ih, while moving by just as much with respect to each other, resulting in the same G_{har} as in Ih.

Going beyond the harmonic approximation, anharmonicity reduces the RMS vibrational amplitudes in Ih and Ic by around 1% and 2.5%, respectively, localising the nuclear wavefunction more in ice Ic than Ih. On the level of the collective vibrational modes, the localisation

is typically driven by a strong quartic contribution to the respective BO energy surfaces (see Supplementary Fig. 6). Moreover, at the anharmonic level the RDFs show that the protons in ice Ic move less with respect to each other than in Ih, instead of just moving less with respect to their equilibrium positions, as they do at the harmonic level. This difference in the relative motion of pairs of protons is the origin of the difference in ΔG_{anh} between Ih and Ic. The larger effect of anharmonicity in Ic is again due to the stronger geometric “coupling” between pairs of protons.

V. PERSPECTIVE

Ih and Ic are important in various branches of science. Examples include climate modelling and the simulation of ice nucleation and formation, where cubic ice plays an important role and for which ΔG_{anh} is an essential input parameter. As an example of the relevance in biological sciences, the benign shape of cubic ice crystals is of potential interest for cryopreservation [56]. Here we have demonstrated that accounting for anharmonic nuclear vibrations is central to understanding and correctly predicting the free energy difference between Ih and Ic. However, the importance of anharmonic vibrations in hydrogen-bonded systems reaches far beyond ice. An accurate treatment of anharmonicity is likely to be crucial in correctly describing the energy differences between very similar polymorphs of hydrogen-bonded molecular crystals which are important in, e.g., pharmaceutical materials science.

Calculating anharmonic vibrational energies in solids is a challenging computational task, which has only recently been successfully achieved using first-principles quantum mechanical methods. Anharmonic effects are particularly important for light elements, such as the hydrogen atoms in H₂O. Anharmonic vibrations are also expected to be important at the surfaces of ice, and when impurities or other defects are present.

We acknowledge financial support from the Engineering and Physical Sciences Research Council of the UK [EP/J017639/1]. B. M. also acknowledges Robinson College, Cambridge, and the Cambridge Philosophical Society for a Henslow Research Fellowship. The calculations were performed on the Cambridge High Performance Computing Service facility and the HECToR and Archer facilities of the UK’s national high-performance computing service (for which access was obtained via the UKCP consortium [EP/K013564/1]).

[1] T. Bartels-Rausch, V. Bergeron, J. H. E. Cartwright, R. Escribano, J. L. Finney, H. Grothe, P. J. Gutiérrez,

J. Haapala, W. F. Kuhs, J. B. C. Pettersson, S. D. Price, C. I. Sainz-Díaz, D. J. Stokes, G. Strazzulla, E. S. Thom-

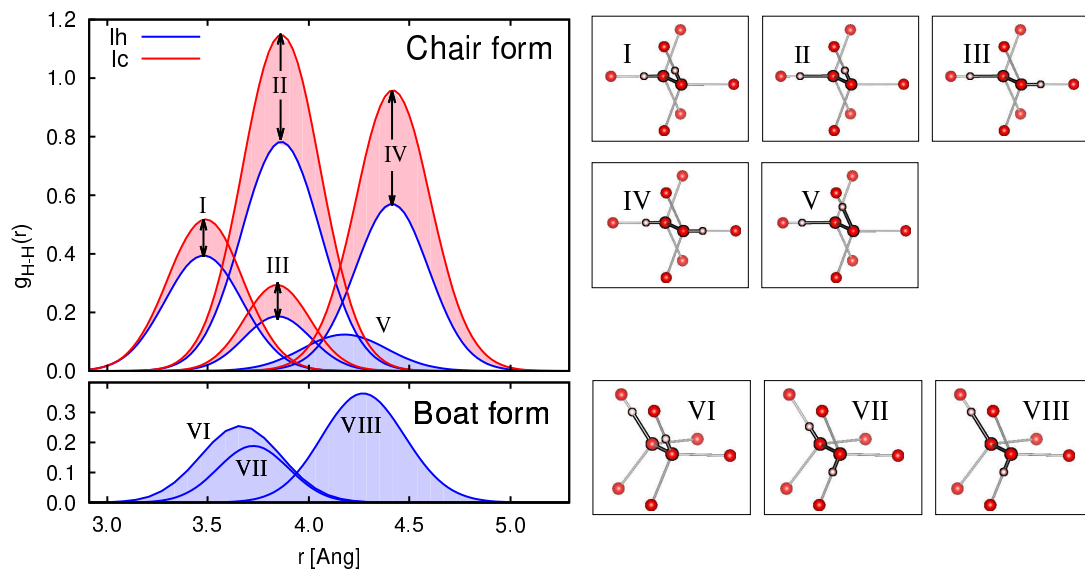


FIG. 11. Anharmonic H-H RDF decomposed into contributions from different bonding configurations of fourth-nearest-neighbour pairs of proton. The upper part shows the components corresponding to the chair-form hexamer configurations, I – V, found in both Ih and Ic. The lower part shows the components corresponding to the boat-form hexamer configurations, VI – VIII, which are exclusive to Ih. In configurations VI – VIII the hydrogen bridge bonds associated with the pairs of protons (shown as small white spheres) are at a larger angle to each other than in I – V.

- son, H. Trinks, and N. Uras-Aytemiz, “Ice structures, patterns, and processes: A view across the ice-fields.” *Review of Modern Physics*, **84**, 885–944 (2012).
- [2] M. B. Baker and T. Peter, “Small-scale cloud processes and climate.” *Nature*, **451**, 299–300 (2008).
- [3] K. C. Young, *Microphysical Processes in Clouds*. (Oxford University Press, New York, 1993).
- [4] B. J. Murray, D. A. Knopf, and A. K. Bertram, “The formation of cubic ice under conditions relevant to the Earth’s atmosphere.” *Nature*, **434**, 202–205 (2005).
- [5] J. E. Shilling, M. A. Tolbert, O. B. Toon, E. J. Jensen, B. J. Murray, and A. K. Bertram, “Measurements of the vapor pressure of cubic ice and their implications for atmospheric ice clouds.” *Geophysical Research Letters*, **33**, L17801 (2006).
- [6] T. H. G. Carr, J. J. Shephard, and C. G. Salzmann, “Spectroscopic Signature of Stacking Disorder in Ice I.” *Journal of Physical Chemistry Letters*, **5**, 2469–2473 (2014).
- [7] K. Thürmer and S. Nie, “Formation of hexagonal and cubic ice during low-temperature growth.” *Proceedings of the National Academy of Sciences*, **110**, 11757–11762 (2013).
- [8] O. Yamamuro, M. Oguni, T. Matsuo, and H. Suga, “Heat capacity and glass structure of pure and doped cubic ices.” *Journal of Physical Chemistry: Solids*, **48**, 935–942 (1987).
- [9] Y. P. Handa, D. D. Klug, and E. Whalley, “Difference in energy between cubic and hexagonal ice.” *Journal of Chemical Physics*, **84**, 7009 (1986).
- [10] E. Mayer and A. Hallbrucker, “Cubic ice from liquid water.” *Nature*, **325**, 601–602 (1987).
- [11] J. A. McMillan and S. C. Los, “Vitreous ice: irreversible transformations during warm-up.” *Nature*, **206**, 806–807 (1965).
- [12] M. Sugisaki, H. Suga, and S. Seki, “Calorimetric study of the glassy state IV: heat capacities of glassy water and cubic ice.” *Bulletin of the Chemical Society of Japan*, **41**, 2591–2599 (1968).
- [13] W. F. Kuhs, C. Sippel, A. Falenty, and T. C. Hansen, “Extent and relevance of stacking disorder in “ice Ic”.” *Proceedings of the National Academy of Sciences*, **109**, 21259–21264 (2012).
- [14] B. J. Murray, S. L. Broadley, T. W. Wilson, S. J. Bull, R. H. Wills, H. K. Christenson, and E. J. Murray, “Kinetics of the homogeneous freezing of water.” *Physical Chemistry Chemical Physics*, **12**, 10380–10387 (2010).
- [15] D. C. Steytler, J. C. Dore, and C. J. Wright, “Neutron diffraction study of cubic ice nucleation in a porous silica network.” *Journal of Physical Chemistry*, **87**, 2458–2459 (1983).
- [16] H. König, “A cubic modification of ice.” *Zeitschrift für Kristallographie*, **105**, 279–286 (1944).
- [17] Z. Raza, D. Alfè, C. G. Salzmann, J. Klimeš, A. Michaelides, and B. Slater, “Proton ordering in cubic ice and hexagonal ice; a potential new ice phase – XIc.” *Physical Chemistry Chemical Physics*, **13**, 19788–19795 (2011).
- [18] R. Ramírez and C. P. Herrero, “Quantum path integral simulation of isotope effects in the melting temperature of ice Ih.” *Journal of Chemical Physics*, **133**, 144511 (2010).
- [19] C. P. Herrero and R. Ramírez, “Isotope effects in ice Ih: A path-integral simulation.” *Journal of Chemical Physics*, **134**, 094510 (2011).
- [20] M. S. Gulam Razul L. Hernández de la Peña and P. G. Kusalik, “Quantum effects in ice Ih.” *Journal of Chemical Physics*, **123**, 144506 (2005).
- [21] S. Habershon, T. E. Markland, and D. E. Manolopoulos, “Competing quantum effects in the dynamics of a flexible water model.” *Journal of Chemical Physics*, **131**, 024501 (2009).

- (2009).
- [22] A. Zeidler, P. S. Salmon, H. E. Fischer, J. C. Neufeind, J. M. Simonson, and T. E. Markland, "Isotope effects in water as investigated by neutron diffraction and path integral molecular dynamics." *Journal of Physics: Condensed Matter*, **24**, 284126 (2012).
 - [23] C. P. Herrero and R. Ramírez, "High-density amorphous ice: A path-integral simulation." *Journal of Chemical Physics*, **137**, 104505 (2012).
 - [24] B. Pamuk, J. M. Soler, R. Ramírez, C. P. Herrero, P. W. Stephens, P. B. Allen, and M. V. Fernandez-Serra, "Anomalous Nuclear Quantum Effects in Ice." *Physical Review Letters*, **108**, 193003 (2012).
 - [25] C. Vega, M. M. Conde, C. McBride, J. L. F. Abascal, E. G. Noya, R. Ramírez, and L. M. Sesé, "Heat capacity of water: A signature of nuclear quantum effects." *Journal of Chemical Physics*, **132**, 046101 (2010).
 - [26] H. Tanaka and I. Okabe, "Thermodynamic stability of hexagonal and cubic ices." *Chemical Physics Letters*, **259**, 593–598 (1996).
 - [27] D. Quigley, "Communication: Thermodynamics of stacking disorder in ice nuclei." *Journal of Chemical Physics*, **141**, 121101 (2014).
 - [28] R. Ramírez, N. Neuerburg, and C. P. Herrero, "The phase diagram of ice: A quasi-harmonic study based on a flexible water model." *Journal of Chemical Physics*, **139**, 084503 (2013).
 - [29] S. J. Singer and C. Knight, "Hydrogen-Bond Topology and Proton Ordering in Ice and Water Clusters." in *Advances in Chemical Physics*, Vol. 147, edited by S. A. Rice and A. R. Dinner (Wiley & Sons, Inc., Hoboken, NJ, USA, 2011).
 - [30] F. Izumi and K. Momma, "Three-Dimensional Visualization in Powder Diffraction," *Solid State Phenomena*, **130**, 15–20 (2007).
 - [31] J. D. Bernal and R. H. Fowler, "A Theory of Water and Ionic Solution, with Particular Reference to Hydrogen and Hydroxyl Ions." *Journal of Chemical Physics*, **1**, 515 (1933).
 - [32] T. L. Malkin, B. J. Murray, C. G. Salzmann, V. Molinero, S. J. Pickering, and T. F. Whale, "Stacking disorder in ice I." *Physical Chemistry Chemical Physics*, **17**, 60–76 (2015).
 - [33] T. C. Hansen, M. M. Koza, and W. F. Kuhs, "Formation and annealing of cubic ice: I. Modelling of stacking faults." *Journal of Physics: Condensed Matter*, **20**, 285104 (2008).
 - [34] T. C. Hansen, M. M. Koza, P. Lindner, and W. F. Kuhs, "Formation and annealing of cubic ice: II. Kinetic study." *Journal of Physics: Condensed Matter*, **20**, 285105 (2008).
 - [35] J. Hallett, W. P. Arnott, M. P. Bailey, and J. T. Hallett, "Ice crystals," in *Cirrus*, edited by K.D. Lynch, K. Sassen, D. O'C. Starr, and G. Stephens (Oxford University Press, Oxford, 2002).
 - [36] E. B. Moore, E. de la Llave, K. Welke, D. A. Scherlis, and V. Molinero, "Freezing, melting and structures of ice in a hydrophilic nanopore." *Physical Chemistry Chemical Physics*, **12**, 4124–4134 (2010).
 - [37] D. L. Silva Jr and M. de Koning, "Structure and energetics of extended defects in ice Ih." *Physical Review B*, **85**, 024119 (2012).
 - [38] L. Hernández de la Peña and P. G. Kusalik, "Quantum effects in liquid water and ice: Model dependence." *Journal of Chemical Physics*, **125**, 054512 (2006).
 - [39] L. Pauling, "The structure and entropy of ice and of other crystals with some randomness of atomic arrangement." *Journal of the American Chemical Society*, **57**, 2680–2684 (1935).
 - [40] Y. Tajima, T. Matsuo, and H. Suga, "Phase transition in KOH-doped hexagonal ice." *Nature*, **299**, 810–812 (1982).
 - [41] S. M. Jackson and R. W. Whitworth, "Thermally-stimulated depolarization studies of the Ice XI-Ice Ih phase transition." *Journal of Physical Chemistry B*, **101**, 6177–6179 (1997).
 - [42] J. F. Nagle, "Lattice Statistics of Hydrogen Bonded Crystals. The Residual Entropy of Ice." *Journal of Mathematical Physics*, **7**, 1484–1491 (1966).
 - [43] C. P. Herrero and R. Ramírez, "Configurational entropy of hydrogen-disordered ice polymorphs." *Journal of Chemical Physics*, **140**, 234502 (2014).
 - [44] K. Hirsch and Lars Ojamäe, "Quantum-chemical and force-field investigations of ice Ih: computation of proton-ordered structures and prediction of their lattice energies." *Journal of Physical Chemistry B*, **108**, 15856–15864 (2004).
 - [45] S. J. Clark, M. D. Segall, C. J. Pickard, P. J. Hasnip, M. I. J. Probert, K. Refson, and M. C. Payne, "First principles methods using CASTEP." *Zeitschrift für Kristallographie*, **220**, 567 (2005).
 - [46] J. P. Perdew, K. Burke, and M. Ernzerhof, "Generalized Gradient Approximation Made Simple." *Physical Review Letters*, **77**, 3865 (1996).
 - [47] D. Vanderbilt, "Soft self-consistent pseudopotentials in a generalized eigenvalue formalism." *Physical Review B*, **41**, 7892 (1990).
 - [48] B. Monserrat, N. D. Drummond, and R. J. Needs, "Anharmonic vibrational properties in periodic systems: energy, electron-phonon coupling, and stress," *Physical Review B*, **87**, 144302 (2013).
 - [49] B. Monserrat, N. D. Drummond, C. J. Pickard, and R. J. Needs, "Electron-phonon coupling and the metallization of solid helium at terapascal pressures," *Physical Review Letters*, **112**, 055504 (2014).
 - [50] S. Azadi, B. Monserrat, W. M. C. Foulkes, and R. J. Needs, "Dissociation of high-pressure solid molecular hydrogen: a quantum Monte Carlo and anharmonic vibrational study," *Physical Review Letters*, **112**, 165501 (2014).
 - [51] J. Lekner, "Energetics of hydrogen ordering in ice." *Physica B: Condensed Matter*, **252**, 149–159 (1998).
 - [52] S. J. Singer, J. Kuo, T. K. Hirsch, C. Knight, L. Ojamäe, and M. L. Klein, "Hydrogen-Bond Topology and the Ice VII/VIII and Ice Ih/XI Proton-Ordering Phase Transition," *Physical Review Letters*, **94**, 135701 (2005).
 - [53] S. M. Jackson, V. M. Nield, R. W. Whitworth, M. Oguro, and C. C. Wilson, "Single-Crystal Neutron Diffraction Studies of the Structure of Ice XI," *The Journal of Physical Chemistry B*, **101**, 6142–6145 (1997).
 - [54] P. Geiger, C. Dellago, M. Macher, C. Franchini, G. Kresse, J. Bernard, J. N. Stern, and T. Loerting, "Proton Ordering of Cubic Ice Ic: Spectroscopy and Computer Simulations," *Journal of Physical Chemistry C Nanomater Interfaces*, **118**, 10989–10997 (2014).
 - [55] A. Soper, "The radial distribution functions of water and ice from 220 to 673 K and at pressures up to 400 MPa." *Chemical Physics*, **258**, 1221–137 (2000).

- [56] P. Mehl and P. Boutron, “Cryoprotection of red blood cells by 1,3-butanediol and 2,3-butanediol.” *Cryobiology*, **25**, 44–54 (1988).